


INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)		ATTY. DOCKET NO. 50325-0854	SERIAL NO. 10/715,932
		APPLICANT: Sunil K. Srivastava	
		FILING DATE: November 17, 2003	GROUP: 2131

U.S. PATENT DOCUMENTS						
Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)			
CPZ		4,531,020		Wechselberger et al.	07-23-1985	
CPZ		4,776,011		Busby	10-04-1988	
CPZ		4,881,263		Herbison et al.	11-14-1989	
CPZ		5,309,516		Takaragi et al.	05-03-1994	
CPZ		5,351,295		Perlman et al.	09-27-1994	
CPZ		5,361,256		Doeringer et al.	11-01-1994	
CPZ		5,588,060		Aziz	12-24-1996	
CPZ		5,588,061		Ganesan et al.	12-24-1996	
CPZ		5,600,642		Pauwels et al.	02-04-1997	
CPZ		5,630,184		Roper et al.	05-13-1997	
CPZ		5,633,933		Aziz	05-27-1997	
CPZ		5,663,896		Aucsmith	09-02-1997	
CPZ		5,748,736		Mittra	05-05-1998	
CPZ		5,805,578		Stirpe et al.	09-08-1998	
CPZ		5,850,451		Sudia	12-15-1998	
CPZ		5,889,865		Vanstone et al.	03-30-1999	
CPZ		5,920,630		Wertheimer et al.	07-06-1999	

FOREIGN PATENT DOCUMENTS						
Exam. Initial*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Office ³	Number ⁴	Kind Code ⁵ (If known)		

Examiner Signature 	Date Considered 9/19/04
--	-----------------------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)				ATTY. DOCKET NO. 50325-0854		SERIAL NO. 10/715,932	
				APPLICANT: Sunil K. Srivastava			
				FILING DATE: November 17, 2003		GROUP: 2131	

U.S. PATENT DOCUMENTS							
Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number	Kind Code ² (If known)				
<i>CS</i>		5,987,131		Clapp	11-16-1999		
<i>CS</i>		6,009,274		Fletcher et al.	12-28-1999		
<i>CS</i>		6,049,878		Caronni et al.	04-11-2000		
<i>CS</i>		6,088,336		Tosey	07-11-2000		
<i>CS</i>		6,119,228		Angelo et al.	09-12-2000		
<i>CS</i>		6,151,395		Harkins	11-21-2000		
<i>CS</i>		6,216,231	B1	Stubblebine	04-10-2001		
<i>CS</i>		6,240,188	B1	Dondeti et al.	05-29-2001		
<i>CS</i>		6,256,733	B1	Thakkar et al.	07-03-2001		
<i>CS</i>		6,263,435	B1	Dondeti et al.	07-17-2001		
<i>CS</i>		6,272,135	B1	Nakatsugawa	08-07-2001		
<i>CS</i>		6,279,112	B1	O'Toole, Jr. et al.	08-21-2001		
<i>CS</i>		6,295,361	B1	Kadansky et al.	09-25-2001		
<i>CS</i>		6,330,671	B1	Aziz	12-11-2001		
<i>CS</i>		6,332,163	B1	Bowman-Amuah	12-18-2001		

FOREIGN PATENT DOCUMENTS									
Exam. Initial*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (If known)					

Examiner Signature 	Date Considered 9/19/04
--	-----------------------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.


¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)	ATTY. DOCKET NO. 50325-0854	SERIAL NO. 10/715,932
	APPLICANT: Sunil K. Srivastava	
	FILING DATE: November 17, 2003	GROUP: 2131

U.S. PATENT DOCUMENTS						
Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)			
CP		6,483,921	B1	Harkins	11-19-2002	
CP		6,507,562	B1	Kadansky et al.	01-14-2003	
CP		6,584,566	B1	Hardjono	06-24-2003	
CP		6,643,773	B1	Hardjono	11-04-2003	
CP		6,633,579	B1	Tedijanto et al.	10-14-2003	
CP		6,684,331	B1	Srivastava	01-27-2004	

FOREIGN PATENT DOCUMENTS								
Exam. Initial*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (If known)				


Examiner Signature 	Date Considered 9/19/04
--	-----------------------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 50325-0854	Application Number: 10/715,932
		First Named Inventor: Sunil K. Srivastava	
		Filing Date: November 17, 2003	
OTHER ART – NO PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²
els		Whitfield Diffie, et al., "New Directions in Cryptography," IEEE Transactions On Information Theory, Vol. IT-22, No. 6, November 1976, pp. 29-40.	
elt		Whitfield Diffie, "The First Ten Years of Public-Key Cryptography," Proceedings of the IEEE, Vol. 76, No. 5, May 1988, pp. 560-577.	
elt		Silvio Micali, "Guaranteed Partial Key-Escrow", MIT/LCS/TM-537, Laboratory for Computer Science, August 1995, Handout #13, 14 pages.	
elt		Mihir Bellare, et al., "Verifiable Partial Key Escrow," Proceedings of the Fourth Annual Conference on Computer and Communications Security, ACM, 1997 November 1996, pp. 1-22.	
elt		U.S. Department of Commerce, National Institute of Standards and Technology, "Federal Information Processing Standards Publication 185 February 9, 1994, 8 pages.	
elt		Cylink Corporation, "Alternatives to RSA: Using Diffie-Hellman with DSS," 11 pages.	
elt		Robert Orfali et al., "The Essential Distributed Objects Survival Guide," 1996, pp. 448-449.	
elt		David Chappell, "Understanding Microsoft Windows 2000 Distributed Services," 2000, pp. 319-324.	
elt		Robert Orfali et al., "Client/Server Survival Guide Third Edition," 1999, p. 488.	
elt		Koblitz, Neal, "A Course in Number Theory and Cryptography, 1994, Springer-Verlag New York Inc. 2nd Edition, 8 pages.	

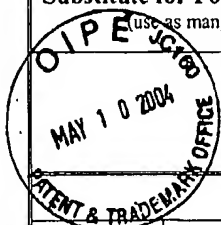
Examiner Signature		Date Considered	9/19/01
-----------------------	---	-----------------	---------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for Form 1449A/PTO (Modified) <small>(Use as many sheets as necessary)</small>	Attorney Docket No.: 50325-0854	Application Number: 10/715,932
	First Named Inventor: Sunil K. Srivastava	
Filing Date: November 17, 2003		



OTHER ART - NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²
CF		U.S. Patent Office, Office Action from application 09/393,411 (cover page and Office Action Summary), dated November 6, 2003, 2 pages.	
CF		U.S. Patent Office, Office Action from application 09/393,411 (cover page and Office Action Summary), dated September 8, 2003, 2 pages.	
CF		U.S. Patent Office, Office Action from application 09/393,410 (cover page and Office Action Summary), dated November 7, 2003, 2 pages.	
CF		U.S. Patent Office, Office Action from application 09/393,410 (cover page and Office Action Summary), dated September 3, 2003, 2 pages.	
CF		U.S. Patent Office, Office Action from application 09/608,831 (cover page and Office Action Summary), dated February 9, 2004, 2 pages.	

Examiner Signature:	Date Considered: 9/19/04
------------------------	--------------------------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.